

## Fc receptor-like 5 and anti-CD20 treatment response in granulomatosis with polyangiitis and microscopic polyangiitis

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*JCI Insight*. 2020;5(18):e136180. <https://doi.org/10.1172/jci.insight.136180>.

Clinical Medicine

Clinical trials

Baseline expression of *FCRL5*, a marker of naive and memory B cells, was shown to predict response to rituximab (RTX) in rheumatoid arthritis. This study investigated baseline expression of *FCRL5* as a potential biomarker of clinical response to RTX in granulomatosis with polyangiitis (GPA) and microscopic polyangiitis (MPA).

A previously validated quantitative PCR-based (qPCR-based) platform was used to assess *FCRL5* expression in patients with GPA/MPA (RAVE trial, NCT00104299).

Baseline *FCRL5* expression was significantly higher in patients achieving complete remission (CR) at 6, 12, and 18 months, independent of other clinical and serological variables, among those randomized to RTX but not cyclophosphamide-azathioprine (CYC/AZA). Patients with baseline *FCRL5* expression  $\geq 0.01$  expression units (termed *FCRL5*<sup>hi</sup>) exhibited significantly higher CR rates at 6, 12, and 18 months as compared with *FCRL5*<sup>lo</sup> subjects (84% versus 57% [ $P = 0.016$ ], 68% versus 40% [ $P = 0.02$ ], and 68% versus 29% [ $P = 0.0009$ ], respectively).

Our data taken together suggest that *FCRL5* is a biomarker of B cell lineage associated with increased achievement and maintenance of complete remission among patients treated with RTX and warrant further investigation in a prospective manner.

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# Fc receptor-like 5 and anti-CD20 treatment response in granulomatosis with polyangiitis and microscopic polyangiitis

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**BACKGROUND.** Baseline expression of *FCRL5*, a marker of naïve and memory B cells, was shown to predict response to rituximab (RTX) in rheumatoid arthritis. This study investigated baseline expression of *FCRL5* as a potential biomarker of clinical response to RTX in granulomatosis with polyangiitis (GPA) and microscopic polyangiitis (MPA).

**METHODS.** A previously validated quantitative PCR-based (qPCR-based) platform was used to assess *FCRL5* expression in patients with GPA/MPA (RAVE trial, NCT00104299).

**RESULTS.** Baseline *FCRL5* expression was significantly higher in patients achieving complete remission (CR) at 6, 12, and 18 months, independent of other clinical and serological variables, among those randomized to RTX but not cyclophosphamide-azathioprine (CYC/AZA). Patients with baseline *FCRL5* expression  $\geq 0.01$  expression units (termed *FCRL5*<sup>hi</sup>) exhibited significantly higher CR rates at 6, 12, and 18 months as compared with *FCRL5*<sup>lo</sup> subjects (84% versus 57% [ $P = 0.016$ ], 68% versus 40% [ $P = 0.02$ ], and 68% versus 29% [ $P = 0.0009$ ], respectively).

**CONCLUSION.** Our data taken together suggest that *FCRL5* is a biomarker of B cell lineage associated with increased achievement and maintenance of complete remission among patients treated with RTX and warrant further investigation in a prospective manner.

**FUNDING.** The analysis for this study was funded by Genentech Inc.

**Authorship note:** PB and MP are co-senior authors.

**Conflict of interest:** The authors have declared that no conflict of interest exists.

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**Submitted:** February 20, 2020  
**Accepted:** August 19, 2020  
**Published:** August 25, 2020.

**Reference information:** *JCI Insight*. 2020;5(18):e136180.  
<https://doi.org/10.1172/jci.insight.136180>.

## Introduction

Granulomatosis with polyangiitis (GPA) and microscopic polyangiitis (MPA) are small-vessel vasculitides that are characterized by the presence of antineutrophil cytoplasmic autoantibodies (ANCA). These autoantibodies activate neutrophils and monocytes, resulting in damage to the vascular endothelium (1). B cell depletion through treatment with rituximab (RTX), an anti-CD20 monoclonal antibody, was shown to be noninferior to a cyclophosphamide-azathioprine (CYC/AZA) regimen for the induction of remission in GPA/MPA in the RAVE trial (NCT00104299) (2). A total of 64% of the patients in the RTX group, as compared with 53% of the patients in the CYC/AZA group, had complete remission (CR) at 6 months. At 12 and 18 months, 48% and 39%, respectively, of the patients in the RTX group had maintained CR, as compared with 39% and 33%, respectively, in the comparison (3).

The RAVE study also showed that patients with relapsing disease (versus a new diagnosis) and those who were proteinase 3-ANCA<sup>+</sup> (PR3-ANCA<sup>+</sup>) at baseline achieved complete response more frequently

when treated with RTX compared with those patients without these characteristics. In contrast, there was no observed difference in response by treatment group among patients who were positive for antimyeloperoxidase (anti-MPO) ANCA (4). Interestingly, PR3-ANCA-positivity and relapsing disease at presentation are variables associated with highest risk of relapse (3, 5).

In our previous work, we developed a quantitative PCR-based (qPCR-based) platform to identify B cell lineage biomarkers of clinical response to RTX in rheumatoid arthritis (6). A subgroup of treated patients characterized by a combination of elevated baseline mRNA levels of *IgJ* (a marker for antibody secreting plasmablasts) and reduced levels of Fc receptor-like 5 (*FCRL5*), preferentially expressed on naive and memory B cells, predicted nonresponse to RTX in inadequate responders to anti-TNF therapy.

*FCRL5*, also known as CD307, is encoded by the immunoglobulin superfamily receptor translocation-associated 2 (*IRTA2*) gene and was originally cloned from myeloma cell lines. *FCRL5* contains 9 extracellular Ig domains, as well as 2 immunoreceptor tyrosine-based inhibitory motifs (ITIM) and one presumed immunoreceptor tyrosine-based activation motif (ITAM) in its cytoplasmic tail. Using a chimeric receptor containing the cytoplasmic tail of *FCRL5*, cross-linking *FCRL5* and the B cell receptor (BCR) was shown to recruit SHP-1 to the 2 ITIM motifs of *FCRL5*, resulting in reduced BCR-induced calcium mobilization and protein tyrosine phosphorylation (7). *FCRL5* binds intact IgG via a complex mechanism; therefore, immune complexes may link *FCRL5* to the BCR, potentially blocking B cell activation similarly to the inhibitory FcγRIIB or CD22 (8).

Intriguingly, *FCRL5* was found to be overexpressed in tissue-like memory B cells based on microarray analysis (9, 10). *FCRL5*<sup>+</sup> cells were found enriched on CD21<sup>-/lo</sup> CD27<sup>+</sup>IgM<sup>+</sup> marginal zone-like B cells in patients with hepatitis C virus-related mixed cyoglobulinemia vasculitis (HCV-MC), but not in healthy donors (11); feature T-bet expression; and may be enriched during chronic antigenemia. Consistent with this, *FCRL5* mRNA and cell surface protein expression required prolonged BCR stimulation and de novo protein synthesis (12). *FCRL5* was also found to be upregulated in circulating “atypical” memory B cells, which are associated with exposure to *Plasmodium falciparum* and may represent dysfunctional or exhausted B cells with downmodulated BCR signaling and reduced capacity to produce antibody and to undergo recall responses (13).

We investigated *FCRL5* expression levels in patients from the RAVE trial with the goal of determining whether *FCRL5* mRNA expression at baseline could serve as a predictive biomarker for achieving CR.

## Results

*Baseline FCRL5 expression in responders versus nonresponders.* *FCRL5* mRNA gene expression analysis was successfully carried out in 190 of 197 study subjects and matched with clinical data in 188 subjects, 97 in the RTX arm, and 91 in the CYC/AZA arm (Figure 1). Flow cytometry data were available for a subset of patients ( $n = 168$ ; 86 in RTX arm and 82 in CYC/AZA arm).

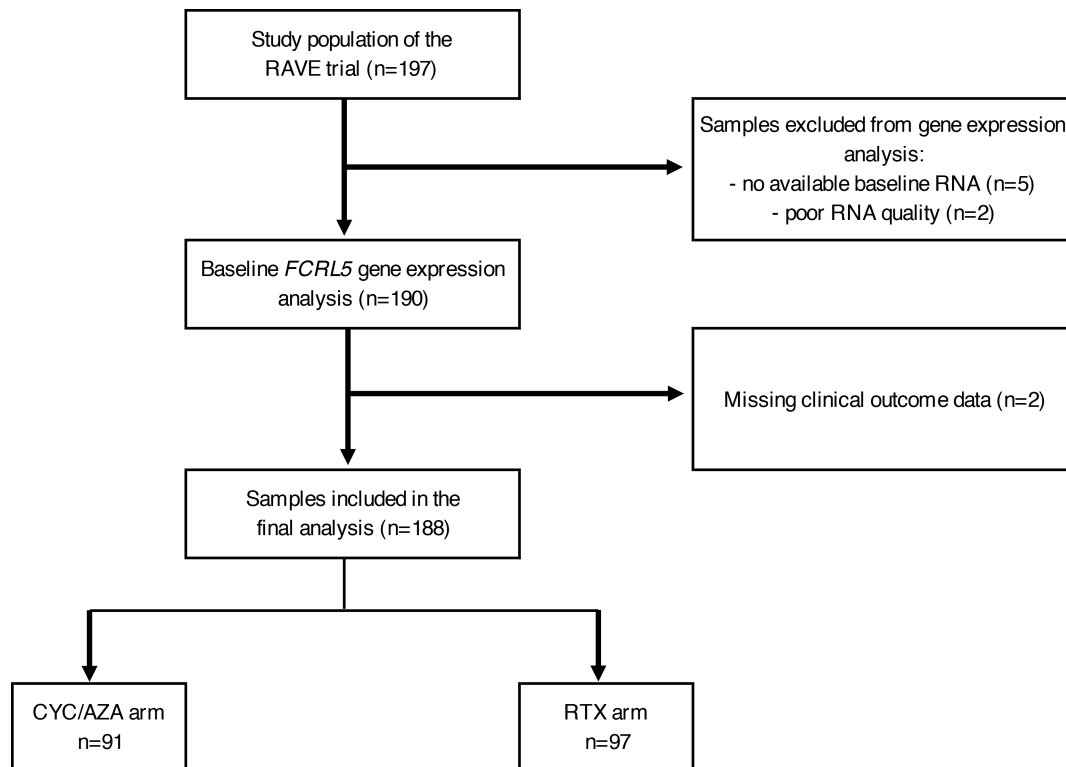
The proportion of patients achieving CR at 6 months in this patient cohort was 64% in the RTX arm versus 54% in the CYC/AZA arm, consistent with response rates observed in the RAVE trial population.

In the RTX arm, mean baseline *FCRL5* level was significantly higher in patients who went on to achieve CR at 6 months as compared with those who did not (median 0.005 expression units [range 0.003–0.012] versus 0.004 [range 0.002–0.006];  $P = 0.026$ ) (Figure 2A). There was no difference in baseline *FCRL5* expression in responders versus nonresponders in the CYC/AZA arm.

*Response rates to RTX at 6, 12, and 18 months in the FCRL5<sup>hi</sup> (FCRL5 ≥ 0.01) and FCRL5<sup>lo</sup> (FCRL5 < 0.01) subgroups.* A threshold sensitivity analysis identified a threshold of 0.01 *FCRL5* expression units to be the most discriminatory for the 6-month response to RTX. Application of this threshold resulted in a significant enrichment for responders in the *FCRL5*<sup>hi</sup> subgroup, in the RTX but not the CYC/AZA arm. In the RTX arm, baseline *FCRL5* expression was associated with CR at 6 months (*FCRL5*<sup>hi</sup> 84% versus *FCRL5*<sup>lo</sup> 57%,  $P = 0.016$ ) (Figure 2B). In contrast, there was no difference in CR in the CYC/AZA arm (*FCRL5*<sup>hi</sup> 48% versus *FCRL5*<sup>lo</sup> 56%,  $P = 0.8$ ). In both arms, *FCRL5*<sup>hi</sup> subgroup represented roughly 25% of patients (25 of 97 in the RTX arm and 22 of 92 in the CYC/AZA arm).

Similar associations were identified at 12 months in the RTX arm (*FCRL5*<sup>hi</sup> 68% versus *FCRL5*<sup>lo</sup> 40%,  $P = 0.02$ ) and the CYC/AZA arm (*FCRL5*<sup>hi</sup> 29% versus *FCRL5*<sup>lo</sup> 44%, not significant) (Figure 2C).

The rate of CR at 18 months in the RTX arm was only 29% in the *FCRL5*<sup>lo</sup> subset ( $P = 0.0009$ ) and 47% in all patients. In the CYC/AZA arm, these percentage rates were 38% and 34%, respectively (Figure 2D). These results and a summary of *FCRL5* levels by CR are shown in Table 1.



**Figure 1. Sample processing flowchart.**

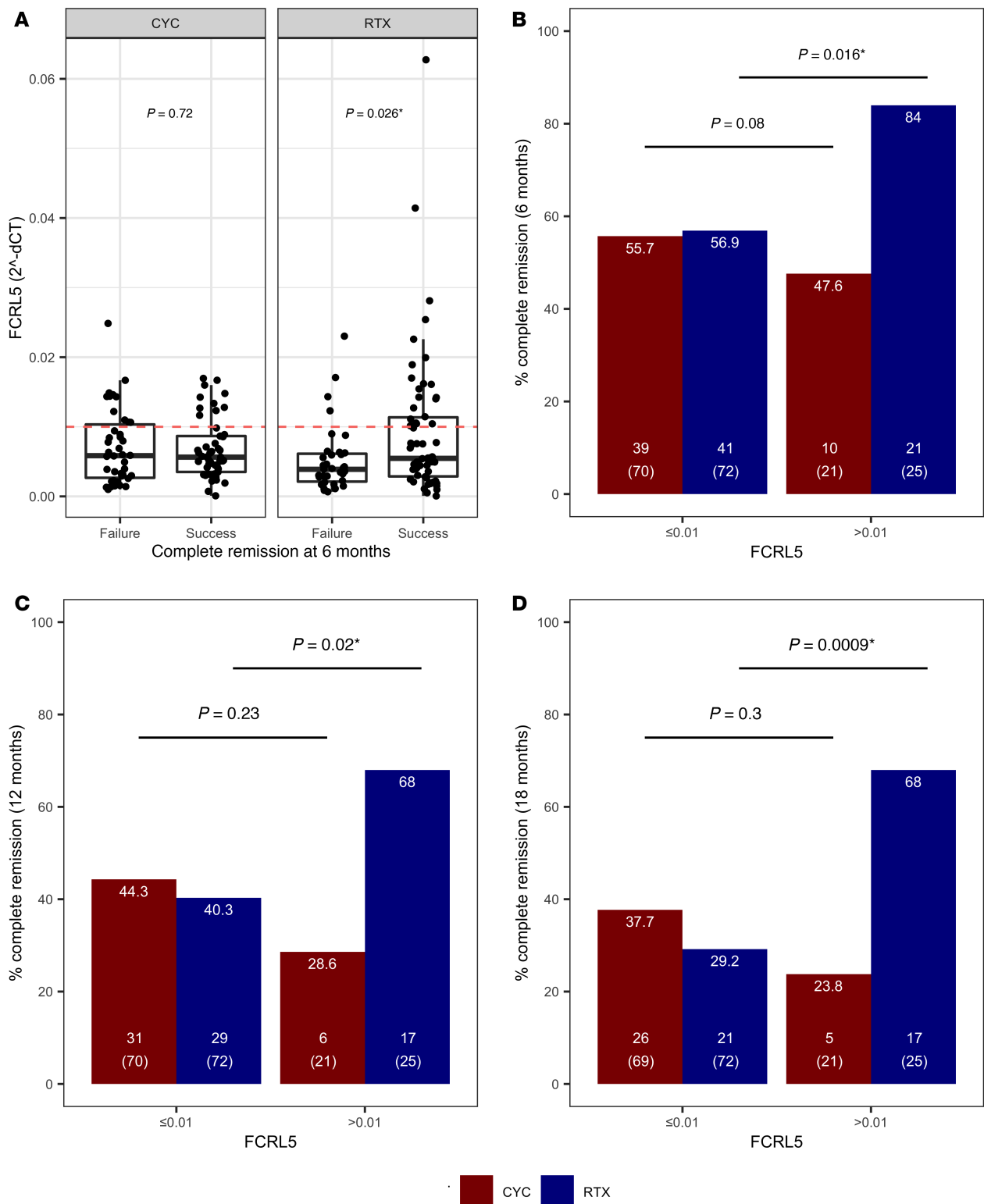
Examining the baseline characteristics between  $FCRL5^{hi}$  versus  $FCRL5^{lo}$  patients, we observed that a significantly lower proportion of  $FCRL5^{hi}$  patients were GPA (65%) and PR3<sup>+</sup> (52%) as compared with  $FCRL5^{lo}$  where 81% and 73% of patients were GPA ( $P = 0.03$ ) and PR3<sup>+</sup> ( $P = 0.01$ ), respectively (Table 2). Such trends were not observed in CYC/AZA patients stratified by  $FCRL5$  and CR (Supplemental Table 1; supplemental material available online with this article; <https://doi.org/10.1172/jci.insight.136180DS1>). Interestingly, a significantly lower proportion of RTX  $FCRL5^{hi}$  patients who achieved CR were PR3<sup>+</sup> (48%) as compared with 78% in  $FCRL5^{lo}$  subgroup (Supplemental Table 2). No other differences were observed.

*Association of FCRL5 expression with peripheral B cell subtypes.* At baseline,  $FCRL5$  expression was positively correlated with the frequency of CD19<sup>+</sup> cells (Spearman's  $\rho = 0.46$ ), specifically with the frequency of activated naive B cells (CD19<sup>+</sup>CD27<sup>-</sup>CD86<sup>+</sup> [Spearman's  $\rho = 0.47$ ] and CD19<sup>+</sup>CD27<sup>-</sup>HLA-DR<sup>+</sup> [Spearman's  $\rho = 0.47$ ]). There were no significant correlations with baseline CD20<sup>+</sup> B cells, nonactivated and CD95-expressing memory cells, plasma, or germinal center founder cells. No correlation was observed with any of the T or myeloid cell populations.

In a random forest model, activated naive and memory B cell subsets were the most important predictors of  $FCRL5$  expression at baseline (CD19<sup>+</sup>CD27<sup>-</sup>HLA-DR percent mean squared error [MSE], 8.15; CD19<sup>+</sup>CD27<sup>-</sup>CD86<sup>+</sup>, 6.63; IGD<sup>+</sup>CD27<sup>+</sup>CD19<sup>+</sup>IGM<sup>+</sup>, 6.23; CD19<sup>+</sup>HLA-DR<sup>+</sup>, 5.84; and CD19<sup>+</sup>CD38-IgD<sup>+</sup>CD23<sup>+</sup>, 5.51) (Table 3).

The effect of induction treatment on peripheral blood B cell subsets was similar in both treatment groups regardless of baseline  $FCRL5$  status (Figure 3). During the follow-up period after induction, particularly in the RTX group, many of the examined B cell subsets repopulated more rapidly among  $FCRL5^{hi}$  patients compared with  $FCRL5^{lo}$  patients. The difference in median values achieved statistical significance at several time points after month 6. When treated with RTX but not CYC/AZA,  $FCRL5^{hi}$  subjects repopulated with significantly higher CD19<sup>+</sup> counts (Figure 3A), naive B cells (CD19<sup>+</sup>CD27<sup>-</sup>) (Figure 3B), activated naive B cells (CD19<sup>+</sup>CD27<sup>-</sup>HLA-DR<sup>+</sup>) (Figure 3C), nonswitched memory B cells (Figure 3D), and switched memory B cells (Figure 3E).

Of note, RTX subjects who failed to achieve CR at 6 months had higher activated naive B cells at the 1-month time point (Figure 3F). There was no difference in the percentage of the CD19<sup>+</sup> B cells or the



**Figure 2. Validation of FCRL5 mRNA gene expression biomarker in patients in the RAVE trial.** (A) Baseline FCRL5 mRNA levels assayed by qPCR in whole blood were compared in patients who achieved and failed to achieve complete remission at 6 months in both the RTX ( $n = 62$  and  $n = 35$ , respectively) and the CYC arm ( $n = 49$  and  $n = 42$ , respectively). Median and interquartile range shown as box plot; whiskers represent IQR. (B–D) Identified biomarker threshold ( $FCRL5 \geq 0.01$  versus  $FCRL5 < 0.01$ ) was tested in baseline mRNA samples from patients in the RAVE trial as a predictor of complete remission at 6 months (B), 12 months (C), and 18 months (D), in subjects treated with RTX (blue bars) versus CYC (red bars). The number on the top of the bars in B–D denotes percentage remission rate in each subgroup, the number in brackets refers to the total number of subjects in each respective subgroup, and the number above it refers to the number of remitters. Wilcoxon rank-sum  $P$  value and Fisher’s exact  $P$  values shown in A and B–D, respectively. \* $P < 0.05$ .

**Table 1. FCRL5 levels at baseline in all patients and in patients achieving complete remission at 6, 12, and 18 months**

	N	%	Median	IQR	P value	FCRL5				P value
						< 0.01		≥ 0.01		
						n	%	n	%	
Rituximab	97	51.6	0.005	0.003–0.01	0.43	72	74.2	25	25.7	0.74
Cyclophosphamide	91	48.4	0.006	0.003–0.09		70	76.9	21	23.1	
Rituximab										
Achieved CR at 6 months	62	63.9	0.005	0.003–0.012	0.02	41	56.9	21	84	0.02
Not achieved	35	36.1	0.004	0.002–0.006		31	43.1	4	16	
Cyclophosphamide										
Achieved CR at 6 months	49	53.8	0.006	0.003–0.011	0.71	39	55.7	10	47.6	0.80
Not achieved	42	46.2	0.006	0.004–0.009		31	44.3	11	52.3	
Rituximab										
Achieved CR at 12 months	46	47.4	0.005	0.004–0.013	0.02	29	40.3	17	68	0.02
Not achieved	51	52.6	0.004	0.002–0.008		43	59.7	8	32	
Cyclophosphamide										
Achieved CR at 12 months	37	40.7	0.004	0.004–0.009	0.55	31	44.3	6	28.6	0.23
Not achieved	54	59.3	0.006	0.002–0.01		39	55.7	15	71.4	
Rituximab										
Achieved CR at 18 months	38	47.4	0.007	0.004–0.015	0.01	21	29.2	17	68	0.009
Not achieved	59	52.6	0.004	0.002–0.007		51	70.8	8	32	
Cyclophosphamide										
Achieved CR at 18 months	31	34.4	0.006	0.004–0.009	0.55	26	37.7	5	23.8	0.3
Not achieved	59	65.7	0.006	0.003–0.01		43	62.3	16	76.2	

Complete remission rates stratified by baseline FCRL5 biomarker status. P values are derived from Wilcoxon rank-sum test for continuous variables and Fisher’s exact test for categorical variables.

aforementioned B cell subsets throughout the induction period between patients who achieved or failed to achieve CR at 6 months in either the RTX or CYC/AZA arms (not shown).

*Univariate and multivariate analyses adjusting for clinical and serological parameters.* In univariate logistic regression analysis, FCRL5 expression was significantly associated with CR at 18 months in the RTX arm (P = 0.016), and there was a trend toward achieving CR at 6 and 12 months. This trend at 6 months became significant in the multivariate analysis after adjusting for PR3-ANCA status, new onset versus relapsing disease status, and baseline Birmingham Vasculitis Activity Score for Wegener’s Granulomatosis (BVAS/WG) score (Table 4).

We found an association between log-transformed FCRL5 as a continuous variable and achievement of CR at months 6 and 18. This implies direct correlation of response with FCRL5 expression level, with an increase in FCRL5 throughout the range of baseline values being associated with an increasing probability

**Table 2. Baseline demographics and clinical characteristics in *FCRL5*<sup>hi</sup> versus *FCRL5*<sup>lo</sup> patients**

Baseline characteristics	<i>FCRL5</i> > 0.01 (n = 46)	<i>FCRL5</i> ≤ 0.01 (n = 142)	P value
Treatment RTX (%)	54	51	0.73
Sex (% female)	67	44	0.01
Age (median, years)	49.5	53.5	0.1
Vasculitis type: % MPA/%GPA	33/65	19/81	0.03
ANCA type: %MPO <sup>+</sup> /%PR3 <sup>+</sup>	48/52	27/73	0.01
% Newly diagnosed	50	47	0.8
% Alveolar hemorrhage	20	29	0.2
Baseline BVAS/WG (median)	8.0	8.0	0.8
Baseline WBC (median, 1 × 10 <sup>9</sup> cells/L)	10.000	9.000	0.3
Baseline CD19 <sup>+</sup> cells (median, cells/ μL)	217	201	0.3

*P* values are derived from Wilcoxon rank-sum test for continuous variables and Fisher's exact test for categorical variables.

of CR in the RTX group. In contrast, there was no association between CR achievement and *FCRL5* levels in the CYC/AZA arm at any *FCRL5* level. There was sufficient separation between the RTX and CYC/AZA curves at the highest *FCRL5* levels to suggest a treatment benefit of RTX over CYC/AZA in this population. This effect nearly reaches statistical significance with respect to the primary endpoint (CR at 6 months; *P* = 0.087) despite being significantly underpowered for the analysis.

## Discussion

ANCA-associated vasculitis is a rare and life-threatening autoimmune disease associated with B cell hyperactivity, the presence of autoantibodies, and elevated BAFF levels (14), suggesting that B cells play a critical role in the pathogenesis of this disease. Recent trials have begun to identify targeted immunotherapies that represent new options compared with conventional CYC (2). PR3-ANCA<sup>+</sup> and relapsing disease subgroups of patients in RAVE appear to receive greater benefit from RTX compared with CYC/AZA, providing clinicians with information that might impact therapeutic choices. Our analysis is important because it demonstrates that a baseline B cell marker in GPA and MPA is associated with increased achievement of CR among patients treated with RTX and may be associated with a prolonged duration of clinical remission following a single cycle of RTX therapy.

In RAVE, patients who achieved CR off glucocorticoids at 6 months in the RTX arm had significantly higher baseline *FCRL5* expression. *FCRL5* expression at baseline seems to predict CR at 6, 12, and 18 months in patients treated with RTX but not those treated with CYC/AZA. Furthermore, *FCRL5* expression appears to have a predictive value independent of other response-predictive factors such as type of vasculitis, serology, or presence of relapsed disease in a multivariate analysis.

While both RTX and CYC/AZA are peripheral blood B cell-depleting agents, our paper's findings may relate to the greater depletion of CD20<sup>+</sup> B cells seen with RTX. Baseline *FCRL5* expression in the peripheral blood of patients with GPA or MPA is correlated with the frequency of memory B cells — specifically, non-switched IgD<sup>+</sup>IgM<sup>+</sup> B cells — and, to a lesser extent, naive B cells. Although *FCRL5* was reported to be associated with switched memory B cells in healthy individuals (9), we observed only a weak correlation between *FCRL5* and switched IgD-IgM<sup>+</sup> B cells in this study, potentially due to the differences in the population of the subjects or the possibility that *FCRL5* was enriched only in CD27<sup>-</sup> atypical memory B cells, which were not quantified in this study. Despite higher total naive and memory B cell numbers at baseline, RTX *FCRL5*<sup>hi</sup> patients had a similar extent of B cell depletion during the induction period compared with *FCRL5*<sup>lo</sup> patients.



**Table 3. Effect of B cell subset populations on *FCRL5* expression**

Cell population	Description	%incMSE
CD19 <sup>+</sup> CD27 <sup>-</sup> HLA-DR <sup>+</sup>	Activated naive B cells (HLA-DR)	8.152
CD19 <sup>+</sup> CD27 <sup>-</sup> CD86 <sup>+</sup>	Activated naive B cells (CD86)	6.628
IGD <sup>+</sup> CD27 <sup>+</sup> CD19 <sup>+</sup> IGM <sup>+</sup>	Memory B cells (IgD <sup>+</sup> IgM <sup>+</sup> )	6.225
CD19 <sup>+</sup> HLA-DR <sup>+</sup>	Activated B cells (HLA-DR)	5.840
CD19 <sup>+</sup> CD38 <sup>-</sup> IgD <sup>+</sup> CD23 <sup>+</sup>	Bm2 naive B cells	5.506
CD77 <sup>+</sup> IGD <sup>-</sup> CD38 <sup>-</sup> CD19 <sup>+</sup> CD23 <sup>-</sup>	Bm5 memory B cells (CD77 <sup>+</sup> CD23 <sup>-</sup> )	5.221
CD27 <sup>+</sup> CD19 <sup>-</sup>	Naive B cells	5.206
CD27 <sup>+</sup> CD19 <sup>+</sup>	Memory B cells	5.009
CD20 <sup>+</sup>	B cells (CD20)	4.918
CD19 <sup>+</sup>	B cells (CD19)	4.896
CD19 <sup>+</sup> CD80 <sup>+</sup>	Activated B cells (CD80)	4.427
CD44 <sup>+</sup> IGD <sup>-</sup> CD38 <sup>-</sup> CD19 <sup>+</sup> CD10 <sup>-</sup>	Bm5 memory B cells (CD44 <sup>+</sup> CD10 <sup>-</sup> )	4.274
CD19 <sup>+</sup> CD27 <sup>-</sup> CD80 <sup>+</sup> CD86 <sup>+</sup>	Activated naive B cells (CD80, CD86)	3.983
CD8 <sup>-</sup> CD69 <sup>+</sup> CD4 <sup>+</sup> CD3 <sup>+</sup>	Activated CD4 T cells (CD69)	3.925
CD19 <sup>+</sup> CD86 <sup>+</sup>	Activated B cells (CD86)	3.525

Random Forest model examining the effect of different B cell subset populations on baseline *FCRL5* expression in patients with available flow cytometry data ( $n = 168$ ). Percent increase in mean squared error (%incMSE) is a robust measure of variable importance; the higher the number, the greater a variable's importance. Other B cells subsets with %incMSE less than 3.525 were not listed in the table.

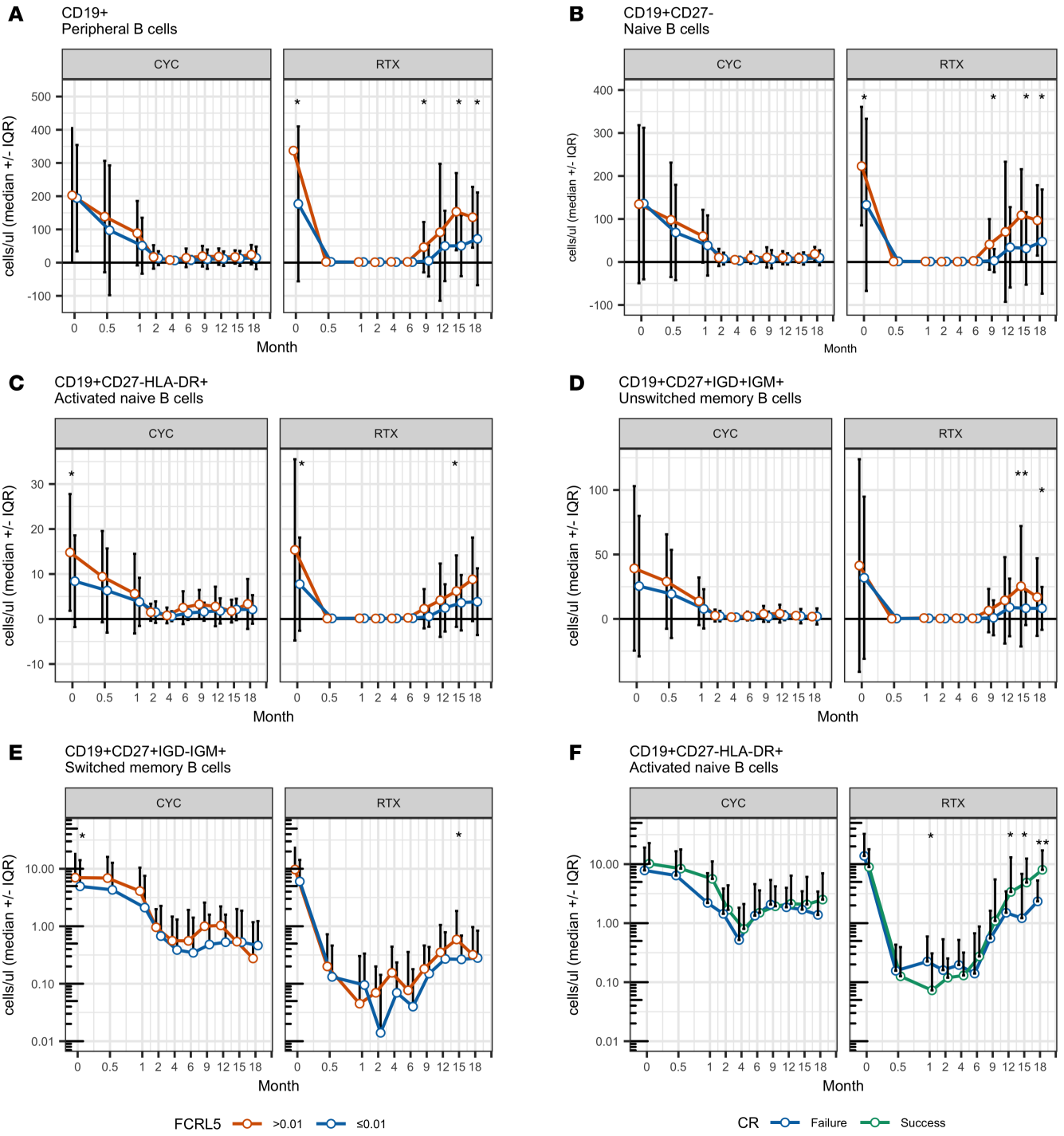
The significance of B cell repletion in *FCRL5*<sup>hi</sup> patients at later time points will require further investigation to understand the potential impact on relapse or PR3-ANCA status.

This analysis has several strengths. It represents an unbiased selection of available samples from the RAVE study, one of the largest, extensively sampled, blinded placebo-controlled interventional trials in GPA or MPA. The proportion of *FCRL5*<sup>hi</sup> patients is approximately 25% of the baseline cohort in RAVE, making these findings relevant to a subfraction of patients. Taken together, these results are an intriguing step in the direction of precision medicine for these patients but are purely hypothesis generating. These findings should be replicated in additional studies of anti-CD20 agents or CYC in the treatment of these severely ill patients in need of CR.

One weakness of our analysis is that the sampling used for it was incomplete, and missing samples could have skewed or altered the analysis in an unknown manner. However, the number of unavailable samples was low (5%), and the trial outcomes of the subset included in our analysis mirrored that of the entire cohort. Another limitation of the study is that we do not have direct confirmation of *FCRL5* surface expression on B cells. It would be important for future studies to develop correlations between mRNA levels and surface expression in similar cohorts of clinically active GPA and MPA patients. A third weakness of the analysis is that further combinations of potentially predictive biomarkers such as B cell depletion status or ANCA status (3) were not evaluated together. Other groups have evaluated a Granularity Index from the RAVE publicly available database (15). Our analysis introduces a new and biologically relevant biomarker for consideration.

In conclusion, there is increasing interest in the identification of potentially novel response biomarkers in ANCA-associated vasculitis (15) that might aid therapeutic selection as new choices are made available to patients. RAVE is a NIAID-sponsored, ITN-supported open-source database that is available to a global community of researchers interested in these diseases. Truly useful biomarkers in many immunologic diseases are relatively rare. Our study findings of a subset of participants with higher baseline *FCRL5* expression could identify a subgroup of patients that may achieve a sustained benefit of RTX compared with CYC.





Number of patients

Arm	FCRL5	0	0.5	1	2	4	6	9	12	15	18
CYC	>0.01	19	20	19	20	15	18	16	14	11	12
CYC	≤0.01	63	64	66	63	51	59	50	53	50	46
RTX	>0.01	21	23	21	25	18	24	22	19	20	19
RTX	≤0.01	65	66	67	65	55	62	54	57	48	46

Number of patients

Arm	CR	0	0.5	1	2	4	6	9	12	15	18
CYC	Failure	36	39	37	37	30	32	22	24	20	20
CYC	Success	46	45	48	46	36	45	44	43	41	38
RTX	Failure	28	30	31	31	22	27	18	19	16	17
RTX	Success	58	59	57	59	51	59	58	57	52	48

**Figure 3. Longitudinal flow cytometry data.** (A–E) patients have been stratified based on baseline *FCRL5* expression. (F) Subjects have been stratified based on complete remission at month 6. The numbers of patients with available data at each visit are shown in the tables. Wilcoxon rank-sum *P* values are as follows: \**P* < 0.05; \*\**P* < 0.01. CR, complete remission.

**Table 4. Logistic regression analysis of log-transformed *FCRL5* predicting complete remission (CR) at months 6, 12, and 18 in Rituxan arm**

Outcome variable	Unadjusted OR	P value	Adjusted OR	P value
CR at 6 months	1.47	0.075	1.59	0.049
CR at 12 months	1.46	0.079	1.51	0.067
CR at 18 months	1.76	0.016	1.74	0.023

Adjusted models include terms for PR3 status, new diagnosis versus relapsing disease, and baseline BVAS/WG score.

## Methods

Supplemental Methods are available online with this article.

**Study design.** The RAVE trial (NCT00104299) was a multicenter, randomized, double-blind non-inferiority trial that compared RTX administered at a dose of 375 mg/m<sup>2</sup> of body surface area once a week for 4 weeks followed by placebo with CYC administered at a dose of 2 mg/kg for 3–6 months followed by AZA at a dose of 2 mg/kg for 12–15 months for a total of 18 months of therapy. It enrolled ANCA<sup>+</sup> patients with GPA and MPA who met criteria for severe disease (BVAS/WG  $\geq 3$ ). Patients were randomly assigned in a 1:1 fashion to either RTX or CYC followed by AZA. Details of the RAVE trial design have been published elsewhere (2).

**Clinical assessments and outcome measures.** Study visits occurred weekly over the first 4 weeks of therapy, followed by visits at months 2, 4, and 6. Disease activity was assessed using the BVAS/WG instrument (16). The primary outcome measure for this study was CR at 6 months (BVAS/WG of 0 with a glucocorticoid dose of 0, which was the primary endpoint in the original RAVE study analysis; ref. 2). Secondary endpoints included remission maintained through 12 and 18 months.

**Sample collection and gene expression analysis.** Whole blood sample collection for gene expression analysis occurred at screening (visit 1) according to the trial protocol (2).

The samples were stored at the ITN core facility and transferred to Genentech for analysis. The methodology of mRNA gene expression analysis of candidate B cell markers has been previously published (6).

In brief, RNA was extracted from whole blood using PAXgene blood RNA kits (QIAGEN) according to manufacturer's protocol. The amount and quality of RNA extracted were assessed using both NanoDrop (Thermo Fisher Scientific) and Agilent 2100 Bioanalyzer technologies, respectively.

qPCR was performed using the ABI 7900HT instrument (Applied Biosystems). We used a commercially available, inventoried ABI assay encoding *FCRL5* splice variant — *FCRL5/IRTA2C* (Hs01070204\_m1; Applied Biosystems) — shown in preliminary experiments to be expressed predominantly on naive and mature B cells, as opposed to *IRTA2A* and *IRTA2B*, which are expressed predominantly in bone marrow plasma cells. Expression of each gene was measured in duplicate in each experiment, and the average of the replicates was normalized to human housekeeping gene *GAPDH* (Hs99999905\_m1; Applied Biosystems) to generate a  $\Delta$ Ct value for each gene. Data were analyzed using BioMark Gene Expression Data Analysis software (Fluidigm Corporation) to obtain Ct values. Expression data were then calculated as relative abundance, using the formula  $2^{-\Delta\text{Ct}}$ .

**Flow cytometry data.** Flow cytometry data were provided by the RAVE-ITN Group. This data set is available for download from the Immunology Database and Analysis ITN Portal and TrialShare public repositories (<https://www.immunetolerance.org/researchers/trialshare>).

Flow cytometry analysis was carried out at predefined time points in the RAVE trial — at screening, at week 2, and at months 1, 2, 4, 6, 9, 12, 15, and 18 following enrollment — and was carried out using standardized protocols described in detail elsewhere (15).

**Statistics.** The Biostatistics Team from the study sponsor (NIAID) provided raw, deidentified, individual-level patient data. These data sets are accessible to readers through TrialShare, a publicly accessible website developed and managed by the ITN (<https://www.immunetolerance.org/researchers/trialshare>). Selected clinical data were transferred from clinical trial databases into a customized Valicert database designed to facilitate analysis. Data analysis was performed using JMP (SAS), GraphPad Prism (GraphPad), and R statistical package (version 3.5.3) ([www.R-project.org](http://www.R-project.org)).

Differences in baseline *FCRL5* expression between responders and nonresponders (expressed as CR at 6, 12, and 18 months) in the RTX and CYC/AZA arms with respect to the linearly transformed values were measured using the nonparametric Wilcoxon rank-sum test.

A threshold sensitivity method described elsewhere (6) was applied to baseline RNA samples in order to identify a *FCRL5* biomarker threshold that enriched for a control arm–corrected CR (at 6 months) to RTX. This threshold once established was then used in binary comparisons in order to compare outcomes in patients termed *FCRL5*<sup>lo</sup> and *FCRL5*<sup>hi</sup>. Differences in baseline variables (demographic, clinical, serological, and molecular data) — as well as in the proportion of patients achieving CR at 6, 12, and 18 months for the *FCRL5*<sup>lo</sup> and *FCRL5*<sup>hi</sup> patient subsets — were calculated, and nominal *P* values were determined. Continuous variables were compared using the Wilcoxon rank-sum test. For categorical variables, 2 separate contingency tables, 1 for the experimental arm (RTX) and 1 for the control arm (CYC/AZA), were created to compare the proportion of responders in the *FCRL5*<sup>lo</sup> and *FCRL5*<sup>hi</sup> subsets, and *P* values were calculated using Fisher's exact test.

Spearman's rank correlation ( $\rho$ ) was used as a nonparametric test of association between flow cytometry cell populations at the screening visit and baseline *FCRL5* expression. To identify flow cytometry populations that were independently correlated with *FCRL5* expression, a random forest decision tree algorithm was used to rank variables based on their predictive importance (expressed as proportion of MSE [%MSE]).

Differences in FACS populations between *FCRL5* subgroups (high  $\geq 0.01$  versus low  $< 0.01$ ) at each time point were compared using Wilcoxon rank-sum test.

Logistic regression was used to investigate the relationship between *FCRL5* and other baseline clinical characteristics. In univariate analysis, each characteristic was considered individually in separate logistic regression models. For multivariate analysis, all characteristics were included in a single logistic regression model to see if effects remained after adjustment for other factors. Statistical significance threshold was set at  $P < 0.05$ . No correction was applied to account for multiple testing.

*Study approval.* The RAVE trial was approved by the IRB at each participating site (2). Written informed consent was obtained from all patients before inclusion in the study.

### Author contributions

KO, GWT, MP, and PB wrote and edited the manuscript. TS, MDC, WO, TB, CH, CAL, and GWT generated and analyzed the data contained in the present manuscript. JS and US contributed critical thinking and revision of the manuscript. PAM, MDC, and CH reviewed the manuscript and provided comments. All authors contributed to data interpretation.

### Acknowledgments

We acknowledge the contribution of the RAVE trial site principal investigators, as well as the ITN. Research specimens from the ITN021AI RAVE trial were provided by the ITN and sponsored by the NIAID of the NIH under award no. UM1AI109565. The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH, the NIHR, or the Department of Health. See Supplemental Acknowledgments for RAVE-ITN Research Group details.

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